

No: 41/2022/PHS-PL
Re: disclosing Report on
Corporate Governance in 2021

Ho Chi Minh City, 27 January 2022

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES
COMMISSION AND THE STOCK EXCHANGES**

To:

- **State Securities Commission;**
- **Hanoi Stock Exchange;**
- **Ho Chi Minh Stock Exchange.**

Name: **PHU HUNG SECURITIES CORPORATION (PHS)**

Headquarters: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City.

Phone: (+84 28) 5413 5479

Fax: (+84 28) 5413 5472

Person to implement information disclosure: Mr. Chen Chia Ken

Type of information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses Report on corporate governance in 2021 (for further information, please find the attached).

The information is disclosed on PHS's website at <https://www.phs.vn>, tab Investor Relations, and section Shareholder Information.

PHS undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

Attachments:

Report on corporate governance in 2021.

Recipient:

- *As above;*
- *Archive at Company Secretariat;*
- *Archive at Legal Division.*



Mr. CHEN CHIA KEN

REPORT ON CORPORATE GOVERNANCE

Year 2021

**To: - STATE SECURITIES COMMISSION;
- HANOI STOCK EXCHANGE;
- HO CHI MINH STOCK EXCHANGE.**

- Name of company: **PHU HUNG SECURITIES CORPORATION (PHS)**
- Address of head office: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City
- Telephone: (84-28) 54135479 Fax: (84-28) 54135472
- Charter capital: VND 1,400,000,000,000
- Stock symbol: PHS
- Governance model: General Meeting of Shareholders, Board of Directors, Inspection Committee and General Director
- The implementation of internal audit (Implemented/ Not yet implemented): Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions of the General Meeting of Shareholders (including the Resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution No.	Date	Content
1.	01/2021/NQ-ĐHĐCĐ	13/04/2021	<ol style="list-style-type: none"> 1. Pass the Audited Financial Statement in 2020; 2. Pass the Report of the Board of Directors on the Business performance in 2020; 3. Pass the Report of the Inspection Committee on the Business performance in 2020; 4. Pass the Report on Corporate governance of PHS in 2020; 5. Pass the Profit Distribution Plan in 2020 and the authorization for the Board of Directors to choose the suitable time and proceed the procedure to pay dividend for shareholders; 6. Pass the Development and Business plan of PHS in 2021; 7. Pass the Financial budget in 2021; 8. Pass the selection of Auditor in 2021; 9. Pass the relocation of Head Office of PHS; 10. Pass the Plan on private placement, capital utilization and the authorization from AGMS to the Board of Directors to implement the plan on private placement and capital utilization; 11. Pass the revised Charter of PHS; 12. Pass the revised Statutes on Inspection Committee's operating; 13. Pass the revised Statutes on Corporate Governance; 14. Pass the Statues on Board of Director's operating.

II. Board of Directors (Annual report):

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1.	Mr. Albert Kwang-Chin Ting	Chairman of the Board of Directors	06/04/2016	-
2.	Mr. Nguyen Doan Hung	Member	06/04/2016	-
3.	Mr. Wu Jin Jeng	Member	06/04/2016	-
4.	Mr. Chen Chia Ken	Member	06/04/2016	-
5.	Ms. Liu, Hsiu-Mei	Independent member	17/04/2019	-

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1.	Mr. Albert Kwang-Chin Ting	10	100%	-
2.	Mr. Nguyen Doan Hung	10	100%	-
3.	Mr. Wu Jin Jeng	10	100%	-
4.	Mr. Chen Chia Ken	10	100%	-
5.	Ms. Liu, Hsiu-Mei	10	100%	-

3. Supervising the Board of Management by the Board of Directors:

In 2021, Board of Directors promptly conducted the assignment from General Meeting of Shareholders in the spirit of in accordance to laws and internal regulations of PHS. The supervision activities of Board of Directors over Board of Management is prescribed in the monthly report of Board of Management and the Meeting Minutes of Board of Directors.

4. Activities of the Board of Directors' subcommittees (If any):

PHS does not establish the Board of Directors' subcommittees so there is not any activities to report.

5. Resolutions of the Board of Directors (Annual report):

No.	Resolution No.	Date	Content	Approval rate
1.	02/55_0121/NQ-HĐQT	29/01/2021	<ol style="list-style-type: none"> 1. Pass the Renewing Credit Line with Bank SinoPac_OBU; 2. Pass the Risk Management Policy 2021. 	100%
2.	02/56_0221/NQ-HĐQT	23/02/2021	<p>Pass the Record Date, the Meeting Date of the Annual General Meeting of Shareholders (“AGMS”), main details as below:</p> <ul style="list-style-type: none"> • The Record Date to finalize the list of shareholders attending the AGMS will be March 15th, 2021. • The AGMS will be held by voting at the meeting. • The AGMS date will be 2:00 PM dated April 13th, 2021. 	100%
3.	03/56_0221/NQ-HĐQT	23/02/2021	Pass the Renewing Credit Line with Cathay United Bank_OBU.	100%
4.	04/57_0321/NQ-HĐQT	17/03/2021	<ol style="list-style-type: none"> 1. Pass the Updated Business Plan 2021; 2. Pass the Loan from CX Technology Corporation; 3. Pass the Amendment Credit Line Agreement with Cathay United Bank – Chu Lai branch (DBU). 	100%
5.	02/58_0421/NQ-HĐQT	07/05/2021	1. Pass the Renewing Credit Line with Cathay United Bank_OBU;	100%

			<ol style="list-style-type: none"> 2. Pass the Renewing Credit Line with Shanghai Commercial & Saving Bank, Ltd – Dong Nai branch; 3. Pass the Increasing Credit Line with Shanghai Commercial & Saving Bank, Ltd – Hong Kong branch; 4. Pass the Increasing Credit Line with Chang Hwa Bank, HSI-Sung Branch. 	
6.	03/58_0421/NQ-HĐQT	07/05/2021	Pass the Remuneration for each member of Boards of Directors and Inspection Committee in Year 2021	100%
7.	04/58_0421/NQ-HĐQT	07/05/2021	Pass the Appointment of Ms. Chieu Nha Thy as the Person in charge of Corporate Governance.	100%
8.	02/59_0521/NQ-HĐQT	28/05/2021	<ol style="list-style-type: none"> 1. Pass the Increasing Credit Line Agreement with Orient Commercial Joint Stock Bank, Ho Chi Minh City Branch; 2. Pass the Renewing the Overdraft Agreement with Bank for Investment and Development of Vietnam JSC, Nam Ky Khoi Nghia Branch; 3. Pass the Renewing Credit Line with Mega International Commercial Bank, Ho Chi Minh City Branch; 4. Pass the Renewing Credit Line with Mega International Commercial Bank_OBU; 5. Pass the Renewing Overdraft Agreement with Vietnam Joint Stock Commercial Bank for Industry and Trade, Branch 4 Ho Chi Minh 	100%

			<p>City;</p> <p>6. Pass the Credit Line with Ho Chi Minh City Development Joint Stock Commercial Bank;</p> <p>7. Pass the Credit Line with Saigon Bank Industry and Trade.</p>	
9.	02/60_0621/NQ-HĐQT	30/06/2021	<p>1. Pass the Renewing Credit Line with Taipei Fubon Commercial Bank Co., Ltd, Ho Chi Minh City Branch;</p> <p>2. Pass the Credit Line with Taipei Fubon Commercial Bank Co., Ltd_OBU;</p> <p>3. Pass the Credit Line with Joint Stock Commercial Bank for Foreign Trade of Vietnam - Ho Chi Minh City Branch;</p> <p>4. Pass the Renewing Credit Line with Cathay United Bank – Chu Lai Branch;</p>	100%
10.	03/60_0621/NQ-HĐQT	30/06/2021	<p>Pass the Implement on private placement plan on increasing charter capital to VND 1,400,000,000,000</p>	100%
11.	02/61_0721/NQ-HĐQT	30/07/2021	<p>Pass the Date to finalize the list of shareholders to pay cash dividend for 2020, main details as below:</p> <ul style="list-style-type: none"> • The Record Date to finalize the list of shareholders to pay cash dividend will be August 25th, 2021; • The payment date will be September 24th, 2021; • The authorization to General Director to implement and sign all documents related to 	100%

			the necessary procedures accordance with law about payment cash dividend.	
12.	03/61_0721/NQ-HĐQT	30/07/2021	<p>Pass the Service Contract with Phu Hung Fund Management Joint Stock Company.</p> <p>The Board of Directors authorized to General Director to implement and sign all documents related to the necessary procedures accordance with law about this contract.</p>	100%
13.	04/61_0721/NQ-HĐQT	30/07/2021	<ol style="list-style-type: none"> 1. Pass the Credit Line with Hua Nan Commercial Bank – Ho Chi Minh City Branch; 2. Pass the Renewing Credit Line with E.Sun Commercial Bank, Ltd – Dong Nai Branch; 3. Pass the Renewing Credit Line with EnTie Commercial Bank; 4. Pass the Renewing Credit Line with First Commercial Bank, Offshore Banking Branch; 5. Pass the Renewing Credit Line with Taiwan Shin Kong Commercial Bank; 6. Pass the Renewing Credit Line with Taishin International Bank Co., Ltd; 7. Pass the Renewing Credit Line with Union Bank of Taiwan (UBOT); 8. Pass the Renewing Credit Line with Yuanta Commercial Bank Co., Ltd., - Offshore Banking Branch. 	100%
14.	02/62_0821/NQ-HĐQT	31/08/2021	1. Pass the Renewing Credit Line with First	

			Commercial Bank - Ho Chi Minh City Branch; 2. Pass the Increasing Credit Line with Vietnam Joint Stock Commercial Bank for Industry and Trade – Branch 4 Ho Chi Minh City.	
15.	02/63_0921/NQ-HĐQT	19/11/2021	<p>Pass the Moving Office of Tan Binh Branch and changing its registered address with State Securities Commission, main details as below:</p> <ul style="list-style-type: none"> • Current address: GF, Room G4.A, Etown 2, 364 Cong Hoa, Ward 13, Tan Binh District, Ho Chi Minh City. • New registered address: GF, Park Legend Building, 251 Hoang Van Thu, Ward 02, Tan Binh District, Ho Chi Minh City. <p>The Board of Directors authorized for General Director to implement and sign all documents related to the necessary procedures accordance with law about this issue</p>	100%
16.	03/63_0921/NQ-HĐQT	19/11/2021	<ol style="list-style-type: none"> 1. Pass the Renewing Credit Line with Bank Sinopac (OBU); 2. Pass the Renewing Credit Line with Indovina Bank Limited – Business Center. 	100%
17.	02/64_1021/NQ-HĐQT	20/12/2021	<ol style="list-style-type: none"> 1. Pass the Business Plan 2022; 2. Pass the Report on Utilisation of Capital. 	100%
18.	03/64_1021/NQ-HĐQT	20/12/2021	<ol style="list-style-type: none"> 1. Pass the Applying New Credit Line to E.Sun Commercial Bank; 2. Pass the Increasing Credit Line with Taishin International Bank Co., Ltd; 	100%

			<p>3. Pass the Increasing Credit Line with Investment and Development of Vietnam JSC, Nam Ky Khoi Nghia Branch (BIDV);</p> <p>4. Pass the Renewing Credit Line with Bank SinoPac, Ho Chi Minh City Branch;</p> <p>5. Pass the Applying New Credit Line to Hua Nan Commercial Bank – OBU.</p>	
--	--	--	--	--

III. Inspection Committee (Annual report):

1. Information about Members of Inspection Committee:

No.	Members of Inspection Committee	Position	The date becoming/ceasing to be the member of the Inspection Committee	Qualification
1.	Mr. Liew Sep Siang	Head of Inspection Committee	The date becoming to be the member of the Inspection Committee: 17/04/2019	Master of Business Administration
2.	Mr. Lii San Rong	Member	The date becoming to be the member of the Inspection Committee: 06/04/2016	Bachelor of Finance
3.	Mr. Chiu Hsien Chih	Member	The date becoming to be the member of the Inspection Committee: 06/04/2016	Master of Business Administration

2. Meetings of Inspection Committee:

No.	Members of Inspection Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1.	Mr. Liew Sep Siang	02	100%	100%	-
2.	Mr. Lii San Rong	02	100%	100%	-
3.	Mr. Chiu Hsien Chih	02	100%	100%	-

3. Supervising Board of Directors, Board of Management and shareholders by Inspection Committee:

In 2021, Inspection Committee operated in the spirit of objection, independence, in accordance with the laws and internal regulations of PHS. The operation of Inspection Committee includes the inspection, observation and assessment on the administration and governance of Board of Directors, Board of Management, as well as the operation of PHS' departments.

4. The coordination among the Inspection Committee, the Board of Management, Board of Directors and other managers:

During operation, the Inspection Committee cooperated with Board of Directors, Board of Management and other divisions, department to maintain the management and the business activities smoothly, effectively and in accordance to regulations of laws and the internal regulation of PHS.

5. Other activities of the Inspection Committee (if any):

There is not any other activities of the Inspection Committee to report.

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management
1.	Mr. Chen Chia Ken	14/10/1971	Master of Economics	Date of appointment: 17/04/2019
2.	Ms. Pham Thi Thu Nhan	13/06/1984	Bachelor of Economics	Date of appointment: 25/04/2019

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Ms. Do Thi Ai Vy	16/09/1986	Bachelor	Date of appointment: 07/12/2018

VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, Inspection Committee, Board of Management, other managers and company secretaries in accordance with regulations on corporate governance:

In 2021, the members of Board of Directors, Inspection Committee, Board of Management, as well as the other managers and company secretariat participated in the webinar: "Implementing to perform the Code of Corporate Governance

Principles according to the Best Practices” on June 22nd, 2021 organized by the State Securities Commission in collaboration with the International Finance Corporation (IFC), Hanoi Stock Exchanges (HNX) and Ho Chi Minh Stock Exchange (HOSE).

VII. The list of affiliated persons of the public company (Annual report) and transactions of affiliated persons of the Company).

1. The list of affiliated persons of the Company:

No.	Name of Organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1.	Mr. Albert Kwang-Chin Ting	-	Chairman of Board of Directors			06/04/2016	-	-	Internal persons
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			06/04/2016	-	-	Internal persons
3.	Mr. Wu Jin Jeng	-	Member of Board of Directors			06/04/2016	-	-	Internal persons

4.	Mr. Chen Chia Ken	022FIA5995	Member of Board of Directors cum General Director			06/04/2016	-	-	Internal persons
5.	Ms. Liu, Hsiu-Mei	-	Independent member of Board of Directors			17/04/2019	-	-	Internal persons
6.	Mr. Liew Sep Siang	-	Head of Inspection Committee			17/04/2019	-	-	Internal persons
7.	Mr. Chiu Hsien Chih	022FIA0602	Member of Inspection Committee			06/04/2016	-	-	Internal persons
8.	Mr. Lii San Rong	022FIA5216	Member of Inspection Committee			06/04/2016	-	-	Internal persons

9.	Ms. Pham Thi Thu Nhan	022C001828	Deputy General Director			25/04/2016	-	-	Internal persons
10.	Ms. Do Thi Ai Vy	022C088617	Chief Accountant			07/12/2018	-	-	Internal persons
11.	Ms. Bui Thi Thanh Huong	022C013498	Chief of Internal Audit			06/04/2016	-	-	Internal persons
12.	Ms. Do Thi Ngoc Van	022C009432	Deputy of Risk Managemnt Division			01/09/2020	-	-	Internal persons
13.	Ms. Nguyen Le Duc Hanh	022C026377	Deputy Director of Finance Divison			04/01/2021	-	-	Internal persons
14.	Ms. Chieu Nha Thy	022C000471	Person in charge of Corporate Governance			07/05/2021	-	-	Internal persons

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).

11/11/2021 10:41:11

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.

No.	Name of organization /individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. approved by GMS/ BOD (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1.	Vu Thai Investment Consultant Company Limited	Major Shareholder; Affiliated organization of internal of the public company	0102340904 - 18/02/2011 – Department of Planning and Investment of Hanoi city	14 th Floor, Cornerstone Building, No. 16 Phan Chu Trinh, Phan Chu Trinh Ward, Hoan Kiem District, Ha Noi	From 23/06/2021 to 25/06/2021	-	Sell shares; Quantity: 4,620,000 shares; Total par value of transaction: VND 46,200,000,000	-
3.	Phu Hung Fund Management Joint Stock Company	Affiliated organization of internal of the public company	Establishment and operation License No. 24/UBCK-GP dated 28/12/2007- - State Securities Commission	Room 4, 21 st Floor, Phu My Hung Tower, No. 8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, HCMC	From 17/07/2021 to 16/07/2022	Resolution of BOD No. 01/61_0721/ NQ-HĐQT dated 30/07/2021	VND 550,000,000/ month	

Note: NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on operations or equivalent legal documents (As for organisations).

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.

No.	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note
-	-	-	-	-	-	-	-	-	-

4. Transactions between the Company and other objects:

4.1. Transactions between the Company and the company that its members of Board of Director, the Inspection Committee, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None

4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Inspection Committee, General Director and other managers as a member of Board of Directors, Board of Directors, or CEOs: None

4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Inspection Committee, General Director and other managers: None

VIII. Share transactions of internal persons and their affiliated persons (Annual report).

1. The list of internal persons and their affiliated persons of the Company.

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.	Mr. Albert Kwang-Chin Ting	-	Chairman of Board of Directors			-	-	-
1.1	Father: Lawrence S. Ting	-	Passed away	-	-	-	-	-
1.2	Mother: Sylvia Tsong-Ching Fei Ting	-	-			-	-	-
1.3	Wife: Wong, I-Ting	-	-			-	-	-
1.4	Sister: Iris Ting	-	-			-	-	-
1.5	Sister: Joyce	-	-			-	-	-

	Ting							
1.6	Brother: Arthur Ting	-	-			-	-	-
1.7	Phu Hung Far East Holding Corporation	-	-	1705717 - 4/4/2012 - B.V.I	Portcullis Trustnet (BVI) Limited, Portcullis TrustNet Chambers P.O.Box 3444, Road Town, Tortola, British Virgin Islands	64,400,000	46,00%	-
1.8	CX Technology Cayman	-	-	CR-115867 - 18/02/2002 - Cayman Island, B.V.I	Marquee Place, Suite 300, 430 West Bay Road, P.O. Box 32052, Grand Cayman KY1- 1208, Cayman Islands	-	-	-
1.9	Freshfields Capital Corporation	-	-	80690793 - 31/03/2014 - Taiwan	18F, No.4, Sec 1, Chung Hsiao West Road, Taipei, Taiwan	6,804,000	4,86%	-
1.10	Phu Hung Fund Management Joint Stock Company	-	-	-	Room 4, 21st Floor, Phu My Hung Tower, No. 8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, HCMC	-	-	-
2.	Mr. Nguyen Doan Hung	-	Member of Board of Directors			-	-	-
2.1	Father:	-	Passed	-	-	-	-	-

11/11/2011 5:21:11

	Nguyen Van Tran		away					
2.2	Mother: Pham Thi Bac	022C010889	Retired		-	-	-	
2.3	Daughter: Nguyen Phuong Anh	-	-	-	-	-	-	-
2.4	Son: Nguyen Hung Nam	-	-	-	-	-	-	-
2.5	Sister: Nguyen Nhi Ha	-	-	-	-	-	-	-
2.6	Sister: Nguyen Thai Binh	-	-	-	-	-	-	-
2.7	Sister: Nguyen Hong Nga	-	-	-	-	-	-	-
2.8	Sister: Nguyen Hong Viet	-	-	-	-	-	-	-
2.9	Brother: Nguyen Hung Manh	022C008998	-			-	-	-
3.	Ms. Liu Hsiu-Mei	-	Member of Board of Directors			-	-	-

3.1	Father: Liu, Guo - Cheng	-	-		-	-	-	-
3.2	Mother: Chen, Yue-E	-	-		-	-	-	-
3.3	Spouse: Chiu, Yi-Hung	-	-		-	-	-	-
3.4	Daughter: Chiu, Chen-Yu	-	-	-	-	-	-	-
3.5	Son: Chiu, Chen-Kai	-	-	-	-	-	-	-
4.	Mr. Wu Jin Jeng	-	Member of Board of Director			-	-	-
4.1	Vu Thai Investment Consultant Limited	-	-	0102340904 – 13/09/2017 - Vietnam	14 th Floor, Cornerstone Building, 16 Phan Chu Trinh, Phan Chu Trinh Ward, Hoan Kiem District, Hanoi City	6,974,723	4.98%	-
5.	Mr. Liew Sep Siang	-	Head of Inspection Committee			-	-	-
5.1	Father: Liew Ee Khong	-	-		-	-	-	-
5.2	Mother: Lee Yeak Leak	-	-		-	-	-	-
5.3	Brother: Liew Sep Leng	-	-		-	-	-	-

5.4	Sister: Liew Chin Hui	-	-		-	-	-	-
5.5	Brother: Liew Sep Yong	-	-		-	-	-	-
5.6	Wife: Chu Zi Yan	-	-		-	-	-	-
6.	Mr. Chiu Hsien Chih	022FIA0602	Member of Inspection Committee			-	-	-
6.1	Father: Chiu Chuei Chun	-	Passed away	-	-	-	-	-
6.2	Mother: Syu Yue Ye	-	Passed away	-	-	-	-	-
6.3	Wife: Lin Ching Yi	-	-			-	-	-
6.4	Sister: Chiu Li Chiu	-	-			-	-	-
6.5	Sister: Chiu Li Ying	-	-			-	-	-

6.6	Sister: Chiu Hsueh O	-	-			-	-	-
6.7	CX Technology Vietnam	-	-	4120330001 07 – 23/02/2011– Vietnam	Tan Thuan Street, Tan Thuan Export Processing Zone, Tan Thuan Dong Ward, District 7, Ho Chi Minh City	-	-	-
7	Mr. Lii San Rong	022FIA5216	Member of Inspection Committee			-	-	-
7.1	Father: Lii Tson	-	Passed away	-	-	-	-	-
7.2	Mother: Lii Wu Jin Tsou	-	Passed away	-	-	-	-	-
7.3	Wife: Yen Shu Ching	-	-			-	-	-
7.4	Child: Lii Dih Yng	-	-			-	-	-
7.5	Child: Lii Hung Yen	-	-			-	-	-
7.6	Sibling: Lii Tzung Shin	-	-			-	-	-
7.7	CX Technology	-	-	4120330001 07 -	Tan Thuan Street, Tan Thuan Export	-	-	-

	Vietnam			23/02/2011 - Vietnam	Processing Zone, Tan Thuan Dong Ward, District 7, Ho Chi Minh City			
8.	Mr. Chen Chia Ken	022FIA5995	Member of Board of Directors cum General Director			-	-	-
8.1	Father: Chen Yi Fan	-	Passed away	-	-	-	-	-
8.2	Mother: Tsai Pi Chin	-	-		-	-	-	-
8.3	Wife: Wang Tzu	-	-			-	-	-
8.4	Child: Chen Hsiu Te	-	-			-	-	-
8.5	Child: Chen Hsiu Yi	-	-			-	-	-
9.	Ms. Pham Thi Thu Nhan	022C001828	Deputy General Director			-	-	-
9.1	Father: Pham	-	-			-	-	-

	Ngoc Ho							
9.2	Mother: Pham Thi Hon	-	-			-	-	-
9.3	Child: Nguyen Pham An Nhien	-	-			-	-	-
9.4	Sibling: Pham Ngoc Tinh	-	-			-	-	-
9.5	Sibling: Pham Thi Cam Xuyen	-	-			-	-	-
10.	Ms. Do Thi Ai Vy	022C088617	Chief Accountant			-	-	-
10.1	Father: Do Gia Van	-	-			-	-	-
10.2	Mother: Nguyen Thi Tuyet	-	-			-	-	-
10.3	Husband:	-	-			-	-	-

	Pham Duc Vu							
10.4	Child: Pham Gia Khiem	-	-			-	-	-
10.5	Sister: Do Thi Ly Ly	-	-			-	-	-
10.6	Sister: Do Thi Uyen My	-	-			-	-	-
10.7	Brother: Do Gia Bao	-	-			-	-	-
11.	Ms. Bui Thi Thanh Huong	-	Head of Internal Audit			-	-	-
11.1	Mother: Do Thi Chinh	-	-			-	-	-
11.2	Husband: Truong Duy Phuc	-	-			-	-	-
11.3	Child: Truong Thi Thanh Phuong	-	-			-	-	-
11.4	Child: Truong	-	-			-	-	-

	Duy Thai							
11.5	Brother: Bui Duc Thang	-	-			-	-	-
11.6	Brother: Bui Xuan Canh	-	-			-	-	-
12.	Ms. Do Thi Ngoc Van	022C009432	Deputy of Risk Managemnt Division			-	-	-
12.1	Father: Do Hong Hai	-	-			-	-	-
12.2	Mother: Tran Thi Thu Hong	-	-			-	-	-
12.3	Brother: Do Ngoc Vu	-	-			-	-	-
12.4	Brother: Do Ngoc Van	-	-			-	-	-
12.5	Sister: Do Thi Ngoc Vy	022C025467	-			-	-	-
12.6	Brother: Do Thanh Vinh	022C025474	-			-	-	-
13.	Ms. Nguyen	022C026377	Deputy			-	-	-

	Le Duc Hanh		Director of Finance Divison					
13.1	Father: Nguyen Huu Phuoc	-	-	-		-	-	-
13.2	Mother: Le Vinh Chau	-	-	-		-	-	-
13.3	Sister: Nguyen Le Hoai Phuc	-	-	-		-	-	-
13.4	Husband: Nguyen Hoang Anh	-	-	-		-	-	-
13.5	Child:Nguyen Hoang Anh Thu	-	-	-		-	-	-
13.6	Child:Nguyen Hoang Anh Phuong	-	-	-		-	-	-
14.	Ms. Chieu Nha Thy	022C000471	Person in charge of Corporate Governance			-	-	-
14.1	Father: Chieu Duc Nghia	-	-			-	-	-
14.2	Mother: Luong Ngoc Mai	-	-			-	-	-

14.3	Brother: Chieu Duc Tam	-	-			-	-	-
14.4	Sister: Chieu Boi Thy	-	-			-	-	-

2. Transactions of internal persons and affiliated persons with shares of the company.

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
-	-	-	-	-	-	-	-

IX. Other significant issues: None

Recipients:

- As above;
- Archived: Company Secretariat.

CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, full name and seal)



ALBERT KWANG CHIN – TING